Project Planning Rural Water Governance Scorecard

Name		Office	
Project		Date	

The intention for this survey is to ask questions during the design phase to address water system governance in the new activity. "Yes (fully)" answers are worth 3, "Yes (Partly)" are worth 1 and "No" are 0. This guide is not intended to provide a "passing" or "failing" grade, however it should provide a governance lens in which to view the site and suggest potential interventions for improving services. The Water Governance scorecard is framed in USAID's PITA framework and compliments the GROWS TATE framework. **For more information on additional resources, please see _Section 2_ of the Toolkit.**

	Accountability Questions	Yes (Fully)	Yes (Partly)	No	Notes	Additional Resources
A1	Will water systems rely on a market-based approach for allocating water (pay-for-service)?					E-Payments, Electric Taps
A2	Will the people/organizations responsible for service provision (Maintenance/ Operations/Management?) have access to technical and administrative support groups?					Subscription Maintenance, Technical Associations
A3	Will a trained individual be responsible for book-keeping of the service provider?					Training & Capacity Building, Audits
A4	Will the system undergo regular maintenance checks and repairs by a trained (qualified) individual?					Subscription Maintenance
A5	Will the project require a written agreement documenting ownership, roles and responsibilities for the water system?					Legal Registration & Formal Contracting
A6	Will a recourse be implemented if obligations to the service provider are not met (e.g. users fail to pay for services)?					Collective Payment
A7	Will a recourse be implemented if obligations to the community are not met (e.g.: provider fails to meet quality/quantity agreements)?					Legal Registration & Formal Contracting
	Sub-Totals:	__x3	__x1	__x0		
	Accountability Total:	_____/21				

	Participation Questions	Yes (Fully)	Yes (Partly)	No	Notes	Additional Resources
P1	Will vulnerable members of the community be able to access water regardless of ability to pay?					Social Costing
P2	Will the community have access to water service providers who can deliver services in spite of challenges related to remote locations, low income, cultural difference, etc.?					Participatory Planning, Community Meetings
P3	Can women be elected to water leadership positions?					Participatory Planning, Community Meetings
P4	Will community members be (including participation by marginalized groups - potential users) involved in the water system planning process?					Participatory Planning, Community Meetings
P5	Are marginalized groups represented on planning, decision-making and oversight committees?					Participatory Planning, Community Meetings
P6	Are women owned businesses and technicians able to access resources provided to services providers - finance, training, icensing, etc.?					Micro Financing
	Sub-Totals:	__x3	__x1	__x0		
	Participation Total:	___/18				

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Inclusion Questions		Yes (Fully)	Yes (Partly)	No	Notes	Additional Resources
I1	Will a local (community or government) water system inspector ensure functionality of the system?					Audit, Umbrella Org., Franchising, Publication of Perfomance Info.
I2	Will community meetings be held between service providers, users, and government officials that allow user participation?					Community Meetings
I3	Will service providers have access to financing if needed (particularly in areas without economies of scale, where there are start-up barriers)?					Microfinancing, Franchising
I4	Are there mechanisms for community members to voice water service concerns to service providers and local government authorities?					Community Meetings
Sub-Totals:		__x3	__x1	__x0		
Inclusion Total:		_____/12				

Transparency Questions		Yes (Fully)	Yes (Partly)	No	Notes	Additional Resources
T1	Will the service provider be required to be legally registered and working under a contractual framework?					Legal Registration & Formal Contracting
T2	Will there be well-defined, agreed-upon, and well-known tariffs for water?					Contingent Funding
T3	Will service providers be required to pass a financial audit?					Audit
T4	Will agreed upon and publicly known performance and quality standards be required of service providers?					Publication of Performance Information
T5	Will a system of information around water system performance be made publicly available (through avenues that reach all users)?					Dashboards
T6	Will a system of technical and financial monitoring be established to ensure proper operations?					Technical Training & Capacity Building
Sub-Totals:		__x3	__x1	__x0		
Transparency Total:		_____/18				

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